

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM
(only for new nonprovisional applications under 37 CFR 1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

BOX: PATENT APPLICATION

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Listing (if applicable) and Abstract, **20 pages**) of:

Inventor(s): **Rajan M. Lukose**

For : **A SYSTEM AND METHOD FOR SELLING CONTINGENT INFORMATION**

***If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:*

☐ continuation ☐ divisional ☐ Continuation-In-Part (CIP)
of prior application Serial No. _____

Prior application information: Examiner :
Art Unit :

Enclosed are:

☒ **5 sheets** of formal drawings.

☒ **Signed** Combined Declaration and Power of Attorney (**2 pages**).

☐ **Copy of signed** Combined Declaration and Power of Attorney (____ pages) from a prior application (1.63(d) (for continuation/divisional).

☐ **Signed** statement deleting inventor(s) named in prior application (____ pages) (1.63(d)(2) and 1.33(b)).

☐ **Incorporation By Reference:** The entire disclosure of the prior application, from which a **copy** of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.

☒ Assignment (**1 page**) of the invention to **Xerox Corporation**.

☒ Assignment Transmittal Letter.

☐ Certified copy of a foreign priority document.

☐ Associate power of attorney.

☐ Applicant claims small entity status. (See 37 CFR 1.27.)

☐ Preliminary Amendment (____ pages).

- ☒ Information Disclosure Statement, form PTO-1449 (1 page) and 4 references.
- ☐ **UNSIGNED** Combined Declaration and Power of Attorney (_____ pages).
- ☐ Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- ☒ A self-addressed, prepaid postcard acknowledging receipt.
- ☐ Other:

The Filing fee has been calculated as shown below:

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BASIC FEE	XXXXXXXX	XXXXXXXX	XXXX	\$355	OR	XXXX	\$710
TOTAL CLAIMS	30 - 20 =	10	x 9 =	\$	OR	x 18 =	\$180
INDEP CLAIMS	3 - 3 =	0	x 40 =	\$	OR	X80 =	\$ 0
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DOCKET NO. : **1508/3150 (A0856)**

APPLICANT(S) : **Rajan M. Lukose**

TITLE : **A SYSTEM AND METHOD FOR SELLING CONTINGENT
INFORMATION**

Certificate is attached to the **Formal Drawings (5 pages)** of the above-named application.

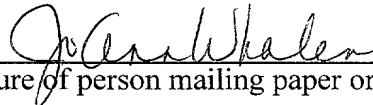
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I hereby certify that this paper or fee is being deposited with the United States Postal Service “Express Mail Post Office to Addressee” service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 **Box Patent Application.**

Jo Ann Whalen

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